

Docket No.: 5266P007

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

MASATO OTSUKA, ET AL.

Application No.: 10/014,123

Filed: October 26, 2001

For: METHOD AND SYSTEM FOR

SEAMLESS PLAYBACK OF

VIDEO/AUDIO DATA AND USER

AGENT DATA

Art Group: 2651

Examiner:

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NOV 2 2 2002

Technology Center 2600

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

The references were cited in a communication from a foreign patent office on October 21, 2002, in a counterpart PCT application.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: November 13, 2002

Seorge L. Fountain, Reg. No. 36,374

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 (714) 557-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

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(to be used for all correspondence after initial filing)

10/014,123

October 26, 2001

Masato Otsuka

Approved for use through 10/31/2002. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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Application No.

First Named Inventor

Filing Date

			Group Art Unit	2651	NOV 2 2 2012			
			Examiner Name					
Total Number of	Pages in This Submissi	on 6	Attorney Docket Number	5266P007	Technology Center 260			
	ENCLO	SURES (chec	k all that apply)					
Fee Transmittal Form  Assignment (for an Appli)			t Papers lication)	After All to Group	owance Communication			
Fee Attached Drawing(s)			Appeal Communication to Boa of Appeals and Interferences					
Amendment / R	esponse	Licensing-r	elated Papers		Communication to Group Notice, Brief, Reply Brief)			
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Express Abando	onment Request	Power of A Change of	ttorney, Revocation Correspondence Address	Other Enclosure(s) (please identify below):				
Information Disc	closure Statement	Terminal Di	isclaimer	PTO/SB cited refe	/08 with two (2) - erences.			
Certified Copy of Document(s)	of Priority	Request for	Refund					
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	SIGNATURE	OF APPLICAN	IT, ATTORNEY, OR AC	GENT				
Firm George L. Fountain, Reg. No. 36,374 or Individual name BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP								
Signature	George J.							
Date								
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			the United States Postal for Patents, Washington, I	D.C. 20231 on:	Class mail with sufficient ovember 13, 2002			
Typed or printed na	me Laura Roble	S						
Signature	J AHA	Kall.	MUS I	Date No	ovember 13, 2002			

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PTO/SB/17 (10-02)
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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EEE TRANSMIT	TAI	Complete if Known				
		Application Number	10/014,123			
for FY 2003		Filing Date	October 26, 2001			
Patent fees are subject to annual revision	7.	First Named Inventor	Masato Otsuka			
Applicant claims small entity status. See	37 CFR 1.27.	Examiner Name				
		Group/Art Unit	2651			
TOTAL AMOUNT OF PAYMENT (S	<b>5</b> )	Attorney Docket No.	5266P007			

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METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)							
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Charge fee(s) indicated below Credit any overpayments	1804	920 *	1804	920	* Requesting publication	on of SIR prior to		
	1				Examiner action			
Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.	1805	1,840*	1805	1,840	<ul> <li>Requesting publication</li> </ul>	on of SIR after		
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to the above-identified deposit account	1251	110	2251	55				
FEE CALCULATION	1252	400	2252	200	Extension for reply wi	thin second month		
1. BASIC FILING FEE	1253	920	2253	460	Extension for reply wi	thin third month		
Large Entity Small Entity	1254	1,440	2254	720	Extension for reply wi	thin fourth month		
Fee Fee Fee Fee Fee Description Fee Paid Code (5)	1255	1,960	2255	980	Extension for reply wi	thin fifth month		
·	1404	320	2401	160	Notice of Appeal			<u> </u>
1001 740 2001 370 Utility filling fee	1402	320	2402	160	Filing a brief in suppo	ort of an appeal		
1002 330 2002 165 Design filing fee	. 1403	280	2403	140	Request for oral hear	rina		<u> </u>
1003 510 2003 255 Plant filing fee	1451	1,510	2451	1,510	Petition to institute a	•	lino	<u> </u>
1004 740 2004 370 Reissue filing fee								
1005 160 2005 80 Provisional filing fee	1452	110	2452	55	Petition to revive - un			
SUBTOTAL (1) (\$)	1453	1,280	2453	640	Petition to revive - un			
	1501	1,280	2501	640	Utility issue fee (or re	issue)		
2. EXTRA CLAIM FEES Extra Fee from	1502	460	2502	230	Design issue fee			
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Total Claims X = X	1460	130	2460	130	Petitions to the Comm	nissioner		
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Large Entity   Small Entity	8021	40	8021	40	Recording each pater	nt assignment per		
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Code (5) Code (5)	1809	740	1809	370	Fiting a submission af	ter final rejection		
1202 18 2202 9 Claims in excess of 20	İ				(37 CFR § 1.129(a))			
1201 84 2201 42 Independent claims in excess of 3	1810	740	2810	370	For each additional in examined (37 CFR §			
1203 280 2203 140 Multiple Dependent claim, if not paid							<b>E</b> \	
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SUBMITTED BY						Comp	lete (if applical	ole)
		gistratio		7	6 274	Telephone	(714) 557	
Name (Print/Type) George L. Fountain	(At	tomey/Ager	nt)		6,374	raiahiiotia	(/17) 33/	-3000
Signature Sum J. Founting						Date	11/13	/02

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of

Complete if Known					
Application Number	10/014,123				
Filing Date	October 26, 2001				
First Named Inventor	Masato Otsuka				
Group Art Unit	2651				
Examiner Name					
Attorney Docket Number	5266P007				

				U.S. PATENT DOCUMENTS		
Examiner Cite No.1		U.S. Paten Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	Α	5,434,678		Abecassis	07-18-1995	
	В	5,977,964		Williams, et al.	11-02-1999	
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	FOREIGN PATENT DOCUMENTS										
Examiner Initials*	Cite	Foreign Patent Document			Name of Patentee or	Date of Publication of	Pages, Columns, Lines, Where Relevant	T*			
	No.1	Office <sup>3</sup>	Number*	Kind Code (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	Ĺ			
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Examiner	Date	
Signature	 Considered	

'Unique citation designation number. 'See attached Kinds of U.S. Patent Documents. 'Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). 'For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

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<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.